

New York Gala 2023



Evening Agenda

Cocktail Reception

Welcome and Opening Remarks

Graham McDonald, 100 Women in Finance NY Gala Chair **Karina Fin**, 100 Women in Finance NY Gala Vice Chair

Appetizers Served

State of the Union

Carole K. Crawford, Global Board Chair, 100 Women in Finance

100 Women in Finance's 2023 Americas Industry Leadership Award, presented by **Carole K. Crawford**, Global Board Chair, 100 Women in Finance

Dinner Served

100 Women in Finance's 2023 Industry DEI Award for the Americas

Introduced by **Sarah Dyer**, Board Secretary and 100 Women in Finance Founder

Presented by **Montserrat Serra-Janer**, Global Head of Private Markets Platform Sales, J.P. Morgan

Special Pledge

Networking Dessert Buffet and Cocktail Reception

Welcome from 100 Women in Finance Global Board Chair

It is with great pleasure that I welcome you all to our annual New York Gala, a night dedicated to celebrating the collective strides we have made in advancing gender equity in the finance industry. As the Board Chair of 100 Women in Finance, I am filled with pride and gratitude as I reflect on our accomplishments and look forward to our bright future.

Firstly, on behalf of the Board, I would like to express our gratitude to our gala sponsors and dedicated volunteers. Your invaluable contributions have not only made tonight possible but also continue to propel our mission forward.

Tonight, we also extend our heartfelt congratulations to our Industry Leadership Award and Industry DEI Award winners. These individuals and firms exemplify our vision and mission and are leading the way in fostering diversity, inclusion and a better way to work in the finance industry.

We are incredibly proud to announce that our global network has now grown to 30,000 members. This number is more than just a statistic – it represents the strength of our community, the power of our collective voice, and the potential for change that we hold. Our global membership has expanded both in terms of diversity and geographical representation. Earlier this year, our organization launched its 32nd location in Nigeria. This growth reflects our commitment to inclusivity and our ability to reach and connect individuals from various backgrounds and locations around the world. This growth trajectory is exciting and fills us with anticipation for what is to come.

Our dedicated staff and volunteers have successfully curated and produced a pipeline of impactful events aligned with our three core pillars and we are on track to conclude the year with nearly 300 in total. This includes the addition of the Impact Investing Symposium to our line-up of marquee conferences, where a diverse and forward-thinking group of individuals came together to explore the boundless possibilities of impact investing. Our FundWomen visibility initiative introduced a roundtable series that serves as a platform for networking and establishing meaningful dialogue with an aim to strengthen the network between female investment professionals. We are launching new programs aimed at empowering women in finance, providing them with the tools, resources, and support they need to thrive in their careers.

Our mission at 100 Women in Finance is to strengthen the global finance industry by empowering women to achieve their professional potential at each career stage. Our Vision 30/40 guides us as we strive towards ensuring women perform 30% of senior investment roles and executive committee positions by 2040.

Thank you for joining us as we celebrate our past, present, and future. Your support empowers us to continue our work, inspiring young women embarking on their career journeys and uplifting those who have chosen finance as their profession.

Here's to a future where equality, diversity, and empowerment are the norm in the finance industry.

Warm regards,

Carole K. Crawford, CFA

Senior Advisor, CFA Institute

Managing Partner, fincap360

Board Chair 100 Women in Finance

Gala Beneficiary: 100 Women in Finance Foundation

Gala proceeds benefit 100 Women in Finance Foundation, which supports the organization's programs and initiatives, as well as the efforts of our local program partners, that empower women in the industry at every career stage and inspire the next generation of pre-career women to find pathways into the industry. Our mission is driven by our three Pillars: Education, Peer Engagement and Impact (Pre-Career) initiatives. We operate under a guiding principle that we call Vision 30/40. It is the goal for women to occupy 30% of senior investment roles and executive committee positions by 2040.

Achieving a goal like Vision 30/40 requires that we reach more women who are already in finance and it means that we need to advocate for a new generation of industry leadership.

100WF programs, as well as the programs of organizations that 100WF supports, center around promoting diversity of thought, raising visibility, and empowering women at each career stage to find their personal path to success. This powerful combination fuels our efforts every day and is what gets us closer to achieving our mission.



100 Women in Finance Americas Industry Leadership Award 2023





Kim Y. Lew

Chief Executive Officer / President
Columbia Investment Management Company, LLC

Kim Y. Lew is CEO of Columbia Investment Management Company which manages the endowment of Columbia University in the city of New York. Previously, she was the CIO of Carnegie Corporation of New York, the private grant making foundation founded by Andrew Carnegie in 1911 which she joined from the Ford Foundation. Lew started her career in the middle market banking group of Chemical Bank and following business school joined Prudential Capital Group.

Lew graduated from the Wharton School of the University of Pennsylvania with a B.S in Economics and from the Harvard Graduate School of Business with an MBA. She is a CFA charterholder and has served as both a standard setter and grader.

Lew serves on the Boards of Ariel Investment Management Mutual Funds and Girls Who Invest. She serves on the investment committees of the ACLU and National Gallery of Arts.

100 Women in Finance Industry DEI Award for the Americas 2023

The **100WF Industry DEI Awards** are intended to shine a spotlight on firms in the traditional and alternative finance industry who have, through internal DEI policies and initiatives, made significant advances in the representation of women at all levels of the firm.

By highlighting those firms who are making strides to increase representation, especially in senior investment roles and in executive committee roles, we hope to inspire all firms in the industry to take on the challenge of our Vison 30/40.

Generously sponsored by J.P. Morgan, the Award winners will be voted on by 100WF's Global Board, using the following criteria:



- % of women in senior investment roles (growth and absolute numbers)
- % of women in executive committee roles (growth and absolute numbers)
- Strength of and commitment to internal DEI policies
- Strength of and commitment to DEI initiatives (internal and external)

In addition to highlighting those firms who are leading the way for the industry, we also hope to share best practices in DEI policies and initiatives with firms globally, to encourage them to find deliberate and practical ways to create demographic change in their organizations.

Global Award selection is prioritized based on the region where the majority of the firms' employees are based.

100 Women in Finance Industry DEI Award for the Americas 2023

100 Women in Finance

congratulates



Recipient of the

100WF Industry DEI Award for the Americas 2023



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the 100WF Industry DEI Award for the Americas 2023.

Award Finalists

Strategic Investment Group Wellington Management

Highly Commended

Corbin Capital Partners, L.P.

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About 100 Women in Finance and Vision 30/40

About 100 Women in Finance





100 Women in Finance strengthens the global finance industry by empowering women to

achieve their professional potential at each career stage. Its members inspire, equip and advocate for a new generation of industry leadership, in which women and men serve as investment professionals and executives, equal in achievement and impact. Through education, peer engagement and impact, the organization furthers the progress of women who have chosen finance as a career, and enables their positive influence over pre-career young women.

Vision 30/40

We operate under a guiding principle that we call Vision 30/40. It is the goal for women to occupy 30% of senior investment roles and executive committee positions by 2040. Even with our efforts, and those of so many other organizations working towards gender equity, we still have quite a way to go for women to hold even close to the number of investment or executive roles in finance that men currently hold.



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About 100 Women in Finance Global Board Chair

Carole Crawford

Senior Advisor, CFA Institute
Managing Partner, fincap360
Board Chair 100 Women in Finance

Carole Crawford's diverse career experiences span the investment, finance, and tech industry. She is

a Senior Advisor at CFA Institute, after serving as the organization's Managing Director,

Americas, leading the largest region globally.
She is also Managing Partner of fincap360, a
global family office consulting firm focused on
venture and niche investments. She has
served as CFO and CIO for families of significant
wealth, and their related companies, focusing on
growth, governance, strategy, succession, and

restructuring portfolios. Previously she was Vice

President and Senior Consultant at Morgan Stanley, managing client portfolios and launching the SMA and Alternatives business in Chicago and Washington DC.

Ms. Crawford is a former board member of CFA Society of New York and served on CFA Institute's Board of Regents for the Seminar for Global Investors and Capital Markets Policy Council. She previously served on the US Department of Commerce MBDA Family Office Investor Council. She received NYC Fintech Women's 2020 Inspiring Fintech Female Leadership Award.

She graduated from the University of Michigan earning a degree in Economics, completed Harvard Business School's Investment Managed Workshop, and Wharton School's Certified Investment Management Analyst Program. Ms. Crawford obtained the National Association of Corporate Directors Directorship Certification and is a CFA charterholder.

About 100 Women in Finance Interim Chief Executive Officer

Nicole Mayer Wiley

Interim Chief Executive Officer, 100 Women in Finance

Ms. Wiley is the Interim Chief Executive Officer of 100 Women in Finance. Ms. Wiley leads a small staff team and provides direction to 600 volunteer practitioners globally. The organization, which has registered members in 32 locations, is focused on empowering women in the finance industry and inspiring the next generation of pre-career young women. Prior to this role, Ms. Wiley served as Chief Operating Officer and Chief Development Officer of 100 Women in Finance where she led the internal

Prior joining 100 Women in Finance, Ms. Wiley was the Chief Operating Officer and Head of Client Engagement for Morgan Stanley's Private Markets Solutions Team, which manages over \$16B in client assets in private equity portfolios for a wide range of global clients. Ms. Wiley's multi-disciplinary investment management experience includes investor relations, business development, impact investing, product marketing, product strategy, product structuring, risk management, finance and

accounting. Ms. Wiley is a Certified Public Accountant and holds the FINRA

operations and development functions for the organization.

series 24, 7 and 63 designations.

Ms. Wiley graduated from Villanova University in 1997, where she received a degree in Accountancy, with honors. She earned a Master of Arts in English Literature from the University of Pennsylvania in 2006, also with honors. Ms. Wiley lives in suburban Philadelphia with her husband and daughter and, in her spare time, is an amateur drummer and vocalist with the School of Rock.

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100 Women in Finance Corporate Sponsors

100 Women in Finance works with firms globally, creating partnerships and bespoke solutions that represent business areas including: talent management, client entertainment, marketing & branding, diversity & inclusion, training & development, visibility for female talent.

We are grateful for the many longstanding relationships we have with our corporate sponsors around the world who are dedicated to increasing the representation of women in finance overall and the percentage of women in leadership positions.

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^{*}We salute those firms who were our Leadership Council members, our founding global corporate supporters.

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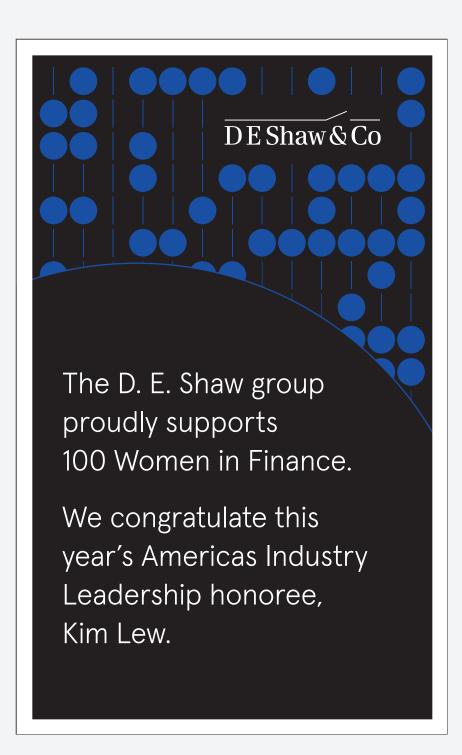


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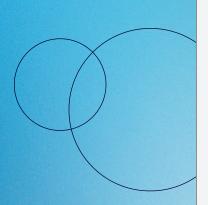


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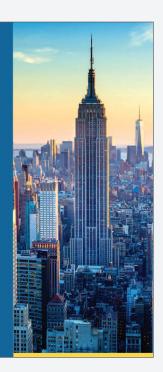
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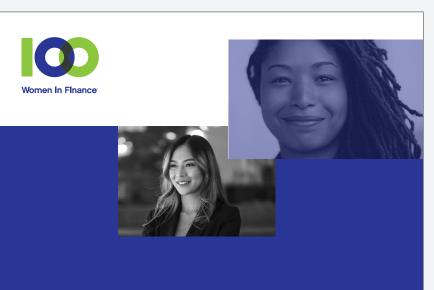
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